

Interview Summary	Application No. 10/650,204	Applicant(s) KIA ET AL.	
	Examiner William T. Leader	Art Unit 1742	

All participants (applicant, applicant's representative, PTO personnel):

(1) William T. Leader. (3)_____.

(2) George Grove. (4)_____.

Date of Interview: 16 May 2006.

Type: a) ☒ Telephonic b) ☐ Video Conference
c) ☐ Personal [copy given to: 1) ☐ applicant 2) ☐ applicant's representative]

Exhibit shown or demonstration conducted: d) ☐ Yes e) ☒ No.
If Yes, brief description: _____.

Claim(s) discussed: independent claims 1, 6 and 9.

Identification of prior art discussed: references applied in the rejection.

Agreement with respect to the claims f) ☐ was reached. g) ☒ was not reached. h) ☐ N/A.

Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: See Continuation Sheet.

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER OF ONE MONTH OR THIRTY DAYS FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.

Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.

Examiner's signature, if required

Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
(The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

Continuation of Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: Mr. Grove discussed the invention and pointed out that the anodized, electrolytically colored and heat-treated aluminum workpieces were for use in an automobile where they would be exposed to ultraviolet radiation. Mr. Grove argued that these workpieces were different that the baking forms of the Brugger patent, and that they were used in a totally different manner. Examiner Leader noted that the claims did not positively recite a step which limited the claims to producing workpieces used in an automobile. It ws agreed that Mr. Grove would draft proposed claim amendments to address this issue and fax an informal copy to Examiner Leader for further discussion. A copy of the fax is attached..

Continuation of Substance of Interview including description of the general nature of what was discussed: The proposed amendments sent by Mr. Grove to Examiner Leader on May 16, 2006 in an informal fax were discussed. An Examiner's Amendment incorporating Mr. Grove's proposals was agreed to. See the comments in the attached Examiner's Amendment..

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FACSIMILE COVER SHEET

TO:
Examiner William T. Leader

COMPANY:
USPTO

FAX NUMBER:
571-273-1245

PHONE NUMBER:

RE:
10/650,204

FROM:
George A. Grove

DATE:
May 16, 2006

TOTAL NO. OF PAGES INCLUDING COVER: **3**

- ☐ URGENT!
☐ For Your Review
☐ Original Will Follow by Mail

- ☐ Please Confirm Receipt by:
☐ Telephone
☐ Return Facsimile

NOTES/COMMENTS:

Attached is a set of claims 1-9 in which independent claims 1, 6 and 9 have been amended. I believe that the additional limitations are consistent with your suggestions.

Please let me know if these changes are acceptable.

George A. Grove

If you do not receive all of the pages, please call (248) 689-3500.

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Informal
Amendments to Claims

1. (Currently Amended) A method of stabilizing an electrolytically colored, anodized aluminum article against degradation by ultraviolet radiation comprising heating the colored article to a temperature above 300°F for a period to achieve such stabilization, such heating being performed before the electrolytically colored article is exposed to color-degrading ultraviolet radiation; and, thereafter, incorporating said article into an automotive vehicle body where said article will be exposed to ultraviolet radiation.

2. (Original) The method as recited in claim 1 in which said aluminum article is a sheet metal panel formed of an aluminum alloy of the AA5xxx series of alloy compositions and said heating period is in excess of 45 minutes.

3. (Original) The method as recited in claim 1 in which said aluminum article is a sheet metal panel formed of an aluminum alloy of the AA6xxx series of alloy compositions and said heating period is in excess of 45 minutes.

4. (Original) The method as recited in claim 1 in which said aluminum article is a sheet metal panel formed of an aluminum alloy of the AA6111 composition and said heating period is in excess of 45 minutes.

5. (Original) The method as recited in claim 1 in which said aluminum article is a sheet metal panel formed of an aluminum alloy of AA5083 or AA5657 composition and said heating period is in excess of 45 minutes.

6. (Currently Amended) A method of making an aluminum alloy article having a colored anodized surface in which the color is stabilized against degradation by ultraviolet radiation, said method comprising:

anodizing said surface of said article in an aqueous sulfuric acid bath to form a colorable anodized layer on said surface, said layer being characterized by porous crystalline columns of aluminum oxide;

electrolytically depositing metal particles in the pores of said anodized layer for coloring said layer; and before said colored layer is exposed to color-degrading ultraviolet radiation,

heating said colored layer at a temperature above 300°F for a period sufficient to stabilize said color layer against said radiation; and, thereafter,

incorporating said article into an automotive vehicle body where said colored layer will be exposed to ultraviolet radiation.

7. (Original) The method recited in claim 6 comprising sealing said colored layer before said heating step.

8. (Original) The method as recited in claim 6 in which said aluminum article is a sheet metal panel formed of an aluminum alloy of the AA5xxx series or AA6xxx series of alloy compositions and said heating period is in excess of 45 minutes.

9. (Currently Amended) A method of making an exterior vehicular aluminum alloy sheet metal body panel having a colored anodized surface in which the color is stabilized against degradation by ultraviolet radiation, said method comprising:

forming said body panel from an aluminum alloy sheet material chosen for the forming of said panel;

anodizing said surface of said formed panel in an aqueous sulfuric acid bath to form a colorable anodized layer on said surface, said layer being characterized by porous crystalline columns of aluminum oxide and having a thickness of 15 micrometers or greater;

electrolytically depositing metal particles in the pores of said anodized layer for coloring said layer; and before said colored layer is exposed to color-degrading ultraviolet radiation,

heating said colored layer at a temperature above 300°F for a period sufficient to stabilize said color layer against said radiation; and, thereafter,

incorporating said body panel into an automotive vehicle body where said colored layer will be exposed to ultraviolet radiation.